

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
114212-006

In Re Application Of: **Dennis Nordman**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/659,864	09/10/2003	Benjamin Layno	29159	3712	5225

Title: **GAMING DEVICE HAVING DISPLAY WITH CONCENTRICALLY ROTATING AND
TRANSLATING INDICATOR THEREFORE**

Address to:
**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

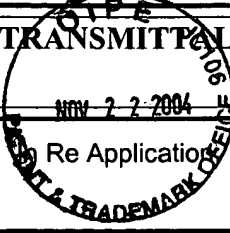
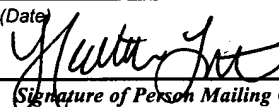
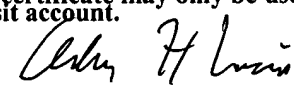
OR

☒ the fee set forth in 37 CFR 1.17(p).

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02 FC:1806

100.00 US

<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;">  <p>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))</p> </div> <div style="text-align: right;"> <p>Docket No. 114212-006</p> </div> </div>					
Re Application of Dennis Nordman					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/659,864	09/10/2003	Benjamin Layno	29159	3712	5225
Title: GAMING DEVICE HAVING DISPLAY WITH CONCENTRICALLY ROTATING AND TRANSLATING INDICATOR THEREFORE					
<p style="text-align: center;">Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</p> <p><input checked="" type="checkbox"/> A check in the amount of \$180.00 is attached.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.</p> <div style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required. </div> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p style="text-align: center;">Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ Signature </div> <div style="border: 1px solid black; padding: 5px;"> _____ Typed or Printed Name of Person Signing Certificate </div> </div> <div style="width: 48%;"> <p style="text-align: center;">Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> 11/17/04 (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">  Signature of Person Mailing Correspondence </div> <div style="border: 1px solid black; padding: 5px;"> Heather Foster Typed or Printed Name of Person Mailing Certificate </div> </div> </div> <p style="margin-top: 10px;">*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="width: 45%;">  _____ Signature </div> <div style="width: 50%; text-align: right;"> Dated: November 17, 2004 </div> </div> <div style="margin-top: 20px;"> <p>Adam H. Masia Reg. No. 35,602 Bell, Boyd & Lloyd LLC P.O. Box 1135 Chicago, Illinois 60690-1135 Telephone: (312) 807-4284</p> </div>					
CC:					



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dennis Nordman
Appl. No.: 10/659,864
Conf. No.: 5225
Filed: September 10, 2003
Title: GAMING DEVICE HAVING DISPLAY WITH CONCENTRICALLY
ROTATING AND TRANSLATING INDICATOR THEREFORE
Art Unit: 3712
Examiner: Benjamin Layno
Docket No.: 114212-006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

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<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
Des. 400,597	11/03/1998	Hedrick et al.
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Slot Machines on Parade, 1st edition written by Robert N. Geddes and illustrated by Daniel R. Mead, published in 1980.

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Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 
Adam H. Masia
Reg. No. 35,602
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Chicago, Illinois 60690-1135
Phone: (312) 807-4284

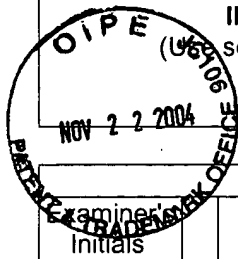
Dated: November 17, 2004

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) NOV 22 2004 U.S. PATENT & TRADEMARK OFFICE	Atty Docket No. 114104-006	Application No. 10/659,864
	Applicant Dennis Nordman	
	Filing Date 09/10/2003	Group 3711

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	Des. 400,597	11/03/1998	Hedrick et al.			
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	5,848,932	12/15/1998	Adams			

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 114104-006	Application No. 10/659,864
	Applicant Dennis Nordman	
	Filing Date 09/10/2003	Group 3711



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	6,062,979	05/16/2000	Inoue			
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U.S. PATENT DOCUMENTS						
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	2002-320703	November 5, 2002	Japan				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	4DU Dice Unit Advertisement written by starpoint.uk.com, printed on September 3, 2002.
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	Bonus Roulette Brochure written by F. Franco, published prior to September 11, 2003.
	Buck's Roulette Brochure written by R. Franco, published prior to September 11, 2003.
	Cyberdyne Gaming Brochure written by Cyberdyne Gaming, published prior to September 11, 2003.
	Double Diamond Girls Advertisement, written by A.C. Coin and Slot Services Company, published prior to September 11, 2003.
	Elvira® Mistress of the Dark™ Advertisement written by IGT, published in 2002.
	Elvis Hits Advertisement written by IGT, published in 1999.
	Holy Smoke Brochure written by Impulse Gaming Ltd., published prior to September 11, 2003.
	Honeymooners Advertisement, written by AC Coin & Slot, published 2002.
	Jack and the Beanstalk™ Brochure written by AC Coin & Slot, published prior to September 11, 2003.

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449		Atty Docket No. 114104-006	Application No. 10/659,864
		Applicant Dennis Nordman	
		Filing Date 09/10/2003	Group 3711

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Lemons, Cherries and Bell-Fruit-Gum written by Richard M. Bueschel, pp. 39-41, 64, 70, 137, 149-150, 195-196 and 251, 1995.
	Little Green Men Jr.™ Advertisement written by AC Coin & Slot, published prior to September 11, 2003.
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Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	